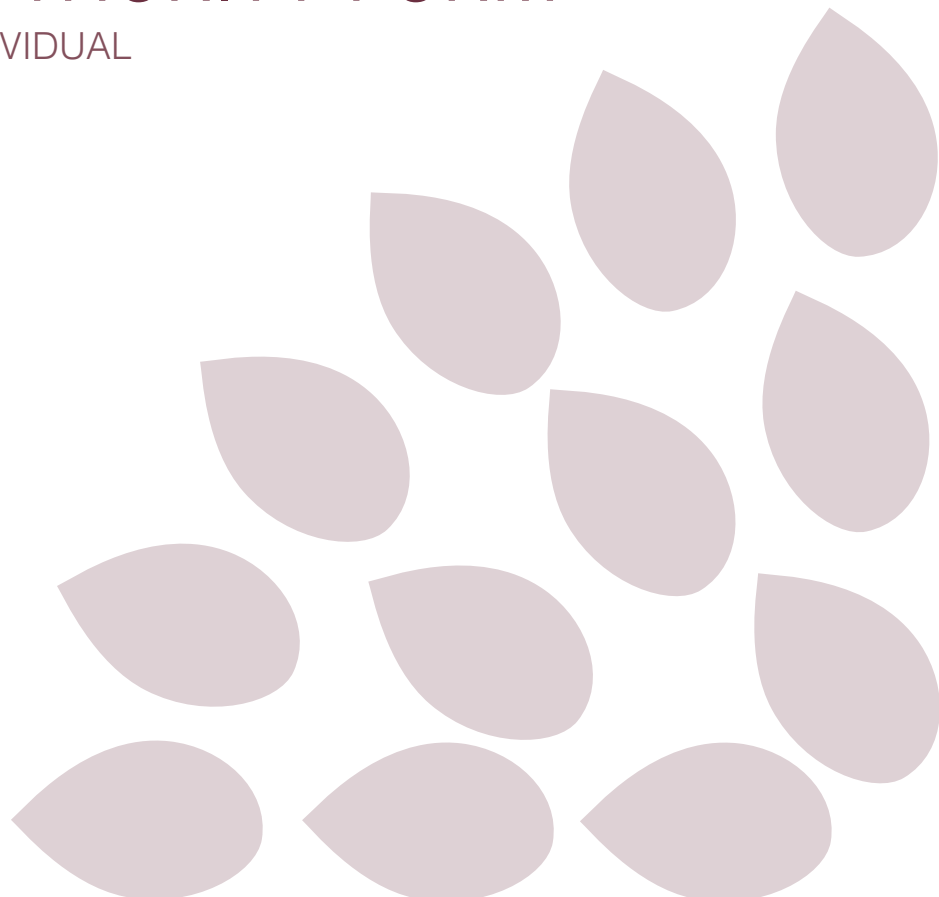




ADDITIONAL PERMITTED SUBSCRIPTION (APS) TRANSFER AUTHORITY FORM

STOCKS AND SHARES INDIVIDUAL
SAVINGS ACCOUNT (ISA)



NOTES ABOUT THE ADDITIONAL PERMITTED SUBSCRIPTION (APS)

When an ISA investor dies, his or her surviving spouse/civil partner is entitled to make an APS investment into an Individual Savings Account (ISA) over and above their annual ISA subscription limit. This APS allowance is limited to the value of the deceased's ISA at the date of death.

- You may use this form to request your APS allowance be transferred to you, if the deceased held an ISA with another ISA manager.
- Please note that only the APS allowance will be transferred to EdenTree Investment Management, not the assets that were held within the deceased's ISA.
- We will send this form to the deceased's ISA manager and we will contact you once confirmation of the allowance has been received. At this stage, and not before, you will be able to invest [a cash lump sum] [cash lump sums] into the EdenTree Investment Management ISA.
- An APS allowance can be transferred to a new ISA manager only once, and only where no subscriptions have already been made under that APS allowance with another ISA manager.
- It is not possible to cancel the transfer of an APS allowance once the deceased's ISA manager has sent the APS allowance transfer declaration.

Important: If the deceased's ISA was with EdenTree Investment Management, you need complete only the APS Application form (available separately) and not this Transfer Authority form.

PERSONAL DETAILS

Please complete all sections and return to:

EdenTree Investment Management Limited, PO Box 3733, Swindon SN4 4BG

Please note, if your financial adviser is not providing an Identity Verification Certificate (IVC), two identification documents must be sent: one to verify residency and one to verify identity.

This form provides authority to the current ISA manager of the deceased to transfer the APS ISA allowance to EdenTree on behalf of the surviving spouse or civil partner.

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other)

Surname

Forename(s)

Date of birth

National Insurance number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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If you do not have a National Insurance number, tick here

Permanent residential address

Postcode

Telephone

Email

Existing ISA account number (if applicable)

If you have been resident at this address for less than two years, please provide details of your previous address.

Previous residential address

Postcode

DETAILS OF THE DECEASED

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other)

Surname

Forename(s)

Date of birth

National Insurance number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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If they did not have a National Insurance number, tick here

Permanent residential address of the deceased at date of death

Postcode

Date of death

Date of marriage or civil partnership between the investor and the deceased

APS ALLOWANCE TRANSFER INFORMATION

Name of deceased's ISA manager

Address of deceased's ISA manager

Postcode

Deceased's existing ISA account number(s) with this ISA manager

Please note:

- If more than one ISA was held with the ISA manager, detailed above, their value will be combined to form one APS allowance.
- If you wish to transfer an APS allowance from more than one ISA manager, you will need to complete a separate Transfer Authority form for each.

ADDITIONAL PERMITTED SUBSCRIPTION ELIGIBILITY DECLARATION

I (the investor) declare that:

- I am the surviving spouse/civil partner of the deceased.
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership has broken down).
- I have not subscribed to, and will not subscribe to, the APS with any existing provider of the deceased named on this declaration.
- I understand that an APS can be transferred only once and only where no subscriptions have been made under that APS.
- I understand that any future subscriptions under this APS allowance must be made to this ISA account.
- I declare that this APS declaration form has been completed to the best of my knowledge and belief.
- I am 18 years of age or over.

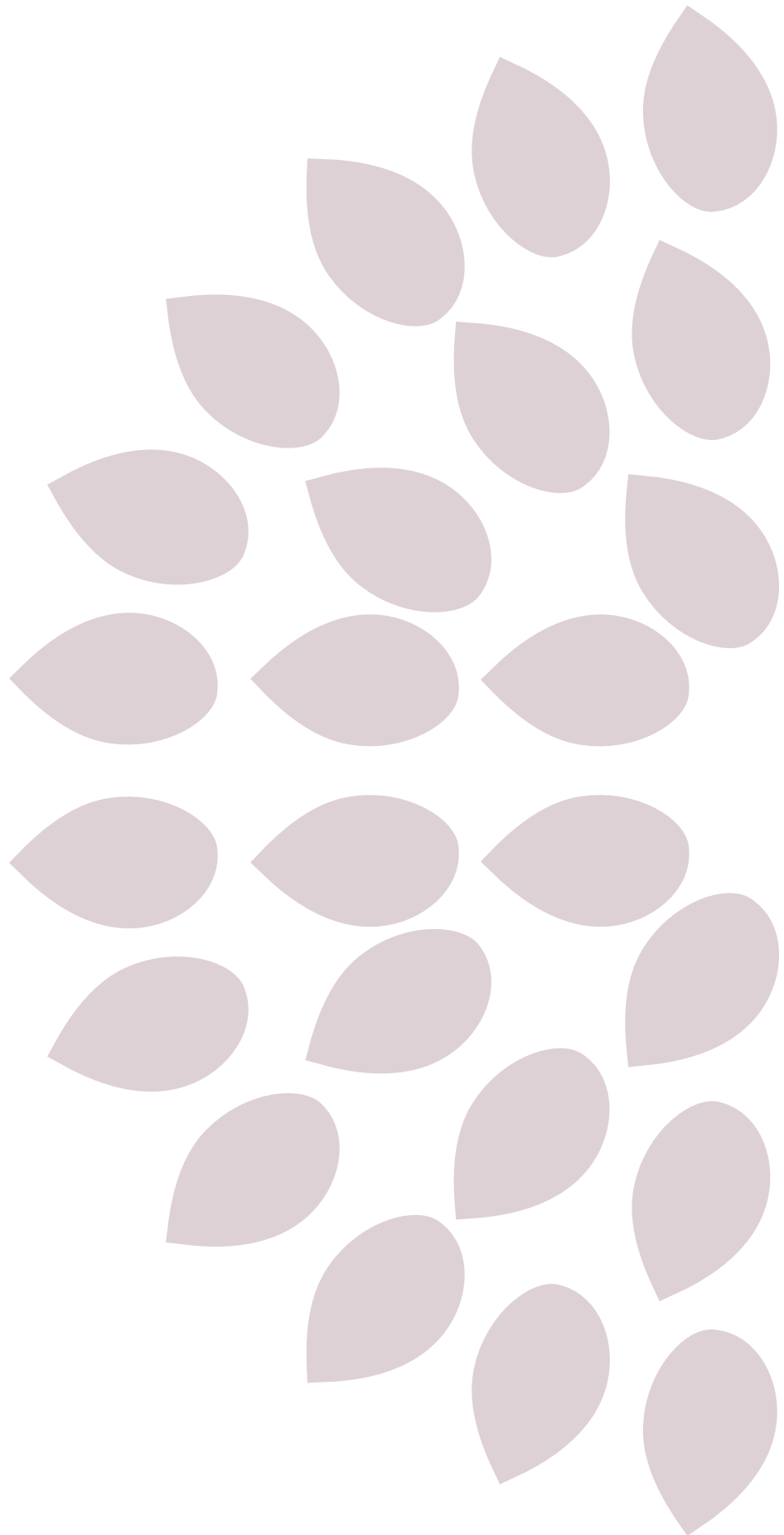
I authorise the existing ISA manager of the deceased (as specified in this form) to provide EdenTree Investment Management with any information concerning the APS allowance and former ISA in respect of the deceased and to accept any instruction from them in relation to the APS allowance being transferred to EdenTree Investment Management.

- I agree to EdenTree Investment Management Limited's ISA Terms & Conditions.
- I confirm that I have been provided with the EdenTree Investment Management Terms & Conditions, a copy of the Supplementary Information Document and the latest Key Investor Information Document(s) for the fund(s) and share class(es) in which I am investing.

APS Stocks and Shares ISA declaration

Signature

Date



For help completing the form or for further information on any of our products, call us on

0800 358 3010

Monday to Friday 9am to 5pm.

We may monitor or record calls to improve our service.

You can email us at

investments@edentreeim.com

Or visit us at

www.edentreeim.com

Support for financial advisers

Dealing and administration:

0800 358 3010

Sales support:

0800 011 3821

Or visit us at

www.edentreeim.com/literature

