

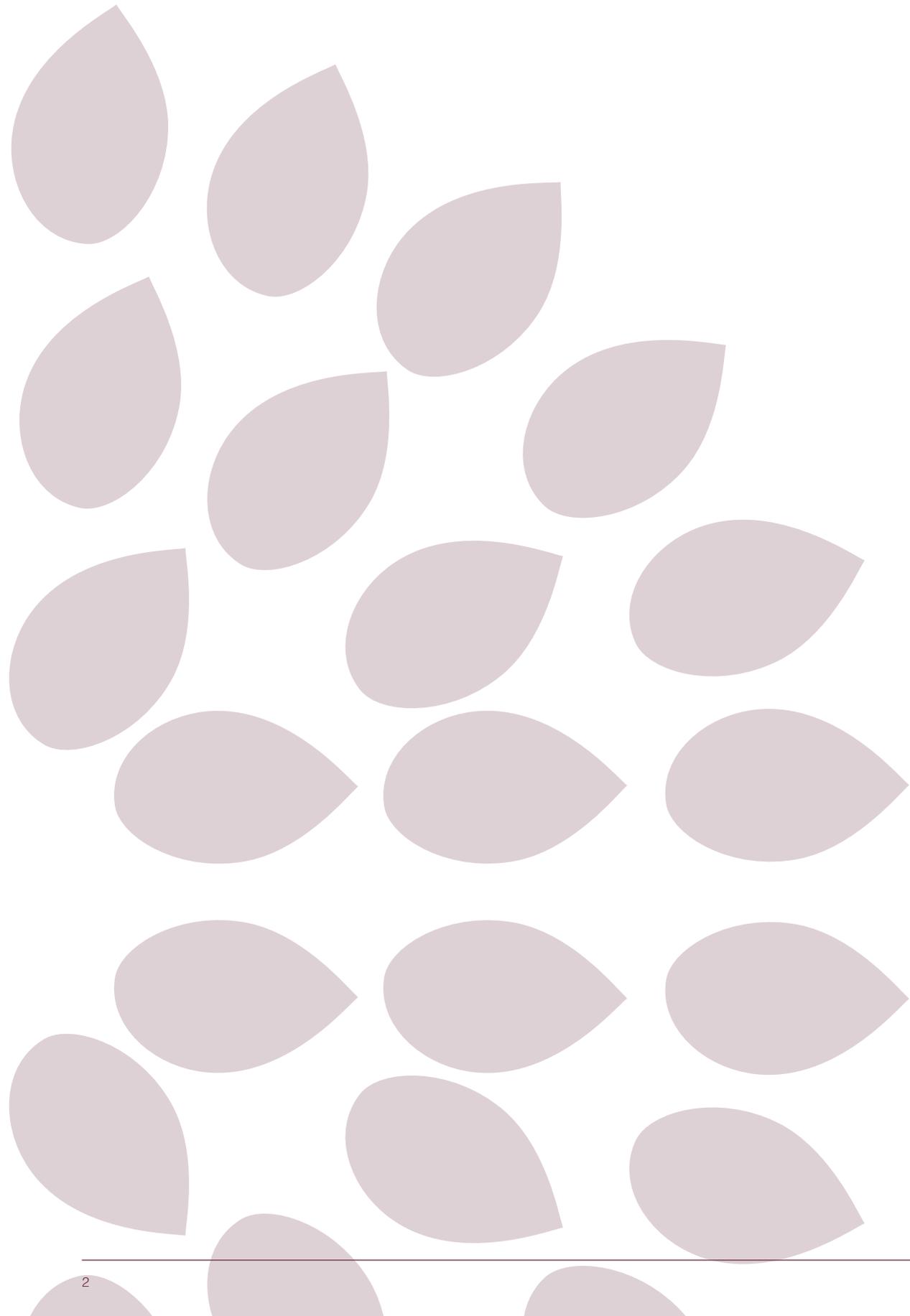


ADDITIONAL PERMITTED SUBSCRIPTION (APS) LUMP SUM INVESTMENTS FORM

STOCKS AND SHARES INDIVIDUAL
SAVINGS ACCOUNT (ISA)

PROFIT WITH PRINCIPLES





NOTES ABOUT THE ADDITIONAL PERMITTED SUBSCRIPTION (APS)

When an ISA investor dies, his or her surviving spouse/civil partner is entitled to make an APS investment into an Individual Savings Account (ISA) over and above their annual ISA subscription limit. This APS allowance is limited to the value of the deceased's ISA at the date of death.

- You may use this form to invest in the EdenTree Investment Management APS ISA.
- If the deceased's ISA was with another ISA manager, there are additional requirements: you may transfer the APS allowance from the other ISA manager to EdenTree Investment Management by completing the Transfer Authority form which is available separately. Please note that we cannot accept an APS investment until the APS allowance has been transferred to us.
- You have three years from the date of death of your spouse/civil partner (or 180 days after the administration of the estate is complete, if later) to invest up to the APS allowance, using one or more cash lump sums. Where the deceased died in the period from 3 December 2014 to 5 April 2015, the three-year period is deemed to be from 6 April 2015.
- Please note that once a subscription from an APS allowance has been made to EdenTree Investment Management, any future subscriptions must also be made to us.

PERSONAL DETAILS

Please complete all sections and return to:

EdenTree Investment Management Limited, PO Box 3733, Swindon SN4 4BG

Please note, if your financial adviser is not providing an Identity Verification Certificate (IVC), two identification documents must be sent: one to verify residency and one to verify identity.

This form should be used if you are a surviving spouse/civil partner and you wish to open an APS ISA up to the permitted APS allowance (the value of the ISAs belonging to your late spouse as at the date of death).

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other)

Surname

Forename(s)

Date of birth

National Insurance number

<input type="text"/>								
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If you do not have a National Insurance number, tick here

Permanent residential address

Postcode

Telephone

Email

Existing ISA account number (if applicable)

If you have been resident at this address for less than two years, please provide details of your previous address.

Previous residential address

Postcode

ACCOUNT SECURITY (optional)

Please provide your own personal security question, which will be used should you contact us by telephone for information on your account (for example 'what was your mother's maiden name?')

Security question

Security answer

DETAILS OF THE DECEASED

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other)

Surname

Forename(s)

Date of birth

National Insurance number

If they did not have a National Insurance number, tick here

Permanent residential address of the deceased at date of death

Postcode

Date of death

Date of marriage or civil partnership between the investor and the deceased

Existing EdenTree Investment Management account number (if applicable)

Did not hold an EdenTree Investment Management ISA (please tick if appropriate)

INVESTMENT SPLIT

I (the investor) wish to subscribe (in cash) from my APS allowance in respect of the deceased and wish to make my subscription to the EdenTree Investment Management APS ISA (a Stocks and Shares ISA).

Where there is an existing ISA, I (the investor) wish to maintain the existing fund allocation (tick as appropriate)

OR

Fund

- Amity European Fund
- Amity International Fund
- Amity Sterling Bond Fund
- Amity UK Fund
- Higher Income Fund
- UK Equity Growth Fund

Total investment

Minimum lump sum investment £1,000 per fund

Lump Sum

£	<input type="text"/>

PAYMENT METHOD (tick as appropriate)

Re-registration of the existing holdings from the deceased investor's EdenTree Investment Management ISA. (This option is only available if you have inherited the ISA assets and we have received the relevant documentation):

Pay in cash electronically to:

EdenTree Investment Management – Dealing Account

Sort Code: 50-00-00 Account Number: 97126519

Pay in cash by cheque:

Make cheque payable to **EdenTree Investment Management – Dealing Account**

If you wish to transfer monies electronically please quote the account reference, as stated on the contract note. Settlement is required four days after trade date.

If your initial investment is made electronically, your bank account details will need to be verified in advance of any payments being released for income or withdrawal. See below re bank verification.*

* In order to verify bank account details we will require either a voided cheque or a paying-in slip for the account

INCOME INSTRUCTIONS

Please tell us how you would like to manage your income (tick as appropriate)

(Income will be reinvested if no income payment option is selected)

I require my income to be paid out to me

I require my income to be reinvested into my ISA

If being paid out to you please nominate a bank account to which we will pay your income:

Name of account holder

Account No

Sort code

Roll No or Building Soc Ref

I would prefer to receive my income via cheque payment

Please note, if you are investing using a cheque from the same account, no further documents are required; if you wish your income to be paid to a different bank account then please provide a voided cheque or paying-in slip from that bank account, so that we may verify it.

These bank account details will also be used to deposit any redemption/sale proceeds.

Please note we are unable to make payments to third parties.

ADDITIONAL PERMITTED SUBSCRIPTION ELIGIBILITY DECLARATION

I (the investor) declare that:

- I am the surviving spouse/civil partner of the deceased.
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership has broken down).
- The subscription is being made
 - In the case of "in specie" subscriptions, within 180 days of the beneficial ownership passing to me (where the deceased died in the period beginning 3 December 2014 and ending 5 April 2015, this is treated as occurring on either 6 April 2015 or the actual date of distribution, whichever is the later).
 - In the case of cash subscriptions, within three years of the date of death, or if later, 180 days of the completion of the administration of the estate (where the deceased died in the period beginning December 2014 and ending on 5 April 2015, the deceased is treated as dying on 6 April 2015).
- I have not subscribed to, and will not subscribe to the APS with any existing provider of the deceased named on this declaration.
- I understand that an APS can be transferred only once and only where no subscriptions have been made under that APS.
- I understand that any future subscriptions under this APS allowance MUST be made to this ISA account.
- I declare that this APS declaration form has been completed to the best of my knowledge and belief.
- I am 18 years of age or over.
- All subscriptions made, and to be made, belong to me.

DECLARATION

- I agree to be bound by the terms and conditions, including any supplementary terms and conditions as described to me in the Key Investor Information Document and the requirements of HM Revenue & Customs relating to ISA schemes.
- All subscriptions made, and to be made, belong to me. I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom.
- I will inform EdenTree Investment Management Limited (EIM) if I cease to be so resident and ordinarily resident or to perform such duties. I am not a US person and I will notify the ISA manager immediately should my status change.
- I authorise EIM and its agents to
 - (i) hold my ISA investments, dividends, rights or other proceeds in respect of such investments
 - (ii) make on my behalf any claims to relief from tax in respect of ISA investments and
 - (iii) on my written request transfer or pay me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of any such investment.
- I declare that this application form has been completed to the best of my knowledge and belief.
- I agree to EdenTree Investment Management Limited's ISA Terms & Conditions.
- I confirm that I have been provided with the EdenTree Investment Management Terms & Conditions, a copy of the Supplementary Information Document and the latest Key Investor Information Document(s) for the fund(s) and share class(es) in which I am investing.

APS Stocks and Shares ISA declaration

Signature

Date

IMPORTANT INFORMATION

Data Protection Act

EdenTree Investment Management will always act responsibly with your personal data. By submitting your personal details, you consent to us using the information provided, as detailed in our Privacy Policy.

Our Privacy Policy can be found at www.edentreeim.com/privacypolicy or by writing to us at our registered office address.

Personal Data

We may transfer your personal information to countries outside the European Economic Area (EEA). This may happen when our servers, suppliers and/or service providers are based outside the EEA. The data protection laws and other laws of these countries may not be as comprehensive as those that apply within the EEA. In these instances we will take steps to ensure that your privacy rights are respected. Details relevant to you may be provided upon request.

Electronic Verification

The Manager is bound by law to abide by the money laundering legislation and to verify the identity of investors. This verification usually happens when an investment is made or shares are transferred. It may also be required at other times whilst the investment is held. Verification will also be required for any third party making payments. If you are investing through an adviser, part of their duty will be to provide us with verification of your identity. Verification of identity may be achieved through the use of a credit reference agency which may keep a record of this information; however, this is only to verify your identity and will not affect your credit record. In some circumstances the Manager may require independent evidence of your identity and permanent address. If the Manager does not receive acceptable evidence it reserves the right to delay or reject your application or withhold payment of the proceeds of redemption and income on shares until verification has been satisfactorily completed.

Documents for Verification of Identity

- If you have not appointed a financial adviser you will be required to provide documentation to evidence identity and address, see attached listed of suitable documents.
- If you have appointed a financial adviser they will be required to provide confirmation of your identity for money laundering purposes.
- Please note that if these documents have not been provided, withdrawal payments and income will be withheld until verification documents have been provided.

INVESTMENT ADVICE AND ADVISER/AGENT DETAILS

Please use the tick boxes provided to indicate whether you have received advice from a financial adviser on this investment and provide their details.

I have received advice from the following adviser

Company name

Address

Postcode

I have not received advice from an adviser

TO BE COMPLETED BY YOUR FINANCIAL ADVISER/AGENT

FCA code

Contact name

Telephone

Email

Please remember to include an Identity Verification Certificate (IVC)

For help completing the form or for further information on any of our products, call us on

0800 358 3010

Monday to Friday 9am to 5pm.

We may monitor or record calls to improve our service.

You can email us at

investments@edentreeim.com

Or visit us at

www.edentreeim.com

Support for financial advisers

Dealing and administration:

0800 358 3010

Sales support:

0800 011 3821

Or visit us at

www.edentreeim.com/literature

