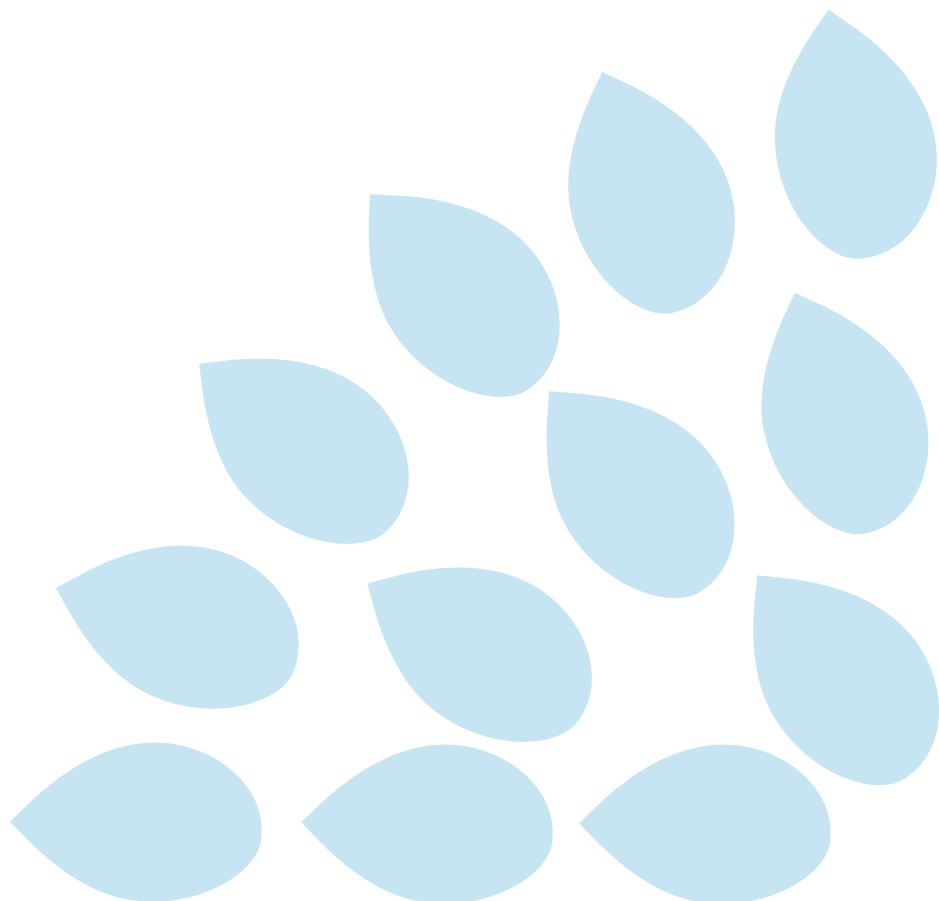




THE AMITY INVESTMENT FUNDS FOR CHARITIES

APPLICATION FORM

PROFIT WITH PRINCIPLES



Please complete in black ink.

Please call 0800 358 3010 if you require help completing this form.

Please complete all sections and return to:

EdenTree Investment Management Limited, PO Box 3733, Swindon SN4 4BG

Charity name (We will open the account in this name)

Charity status (Charity Registration No or HMRC Tax Reference No)

Registered address (if applicable)

Postcode

Telephone

Email

CHARITY CONSTITUTION (Please tick whichever applies)

Please provide a certified copy of any relevant documentation. See pages 8 and 9.

- By Trust Deed
- Parochial Church Council (PCC Powers Measure 1956)
- Other (Please provide details below)

Limited Company

Company Registration No

Name of Company Secretary

If your organisation is a Limited Company the Company Secretary or person acting in that capacity must also complete their details on page 7.

CONTACT DETAILS (Correspondence address, if different from above)

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other)

Surname

Forename(s)

Address

Postcode

Telephone

Email

We are only able to send correspondence to one representative of the Charity plus a copy to your financial adviser where appropriate.

TO BE COMPLETED BY YOUR FINANCIAL ADVISER/AGENT (if applicable)

FCA code

Contact name

Company name

Address

Postcode

Telephone

Email

INVESTMENT CHOICE

There are two Amity Investment Funds for Charities. The Amity Balanced Fund for Charities invests in fixed income securities, UK and international equities. The Amity Global Equity Fund for Charities invests in UK and international equities.

Please indicate below the fund(s) in which you wish to invest and the amount (if known):

<input type="checkbox"/> Amity Balanced Fund for Charities	Amount ¹ : £	<input type="text"/>
<input type="checkbox"/> Amity Global Equity Fund for Charities	Amount ¹ : £	<input type="text"/>
Total investment¹ £		<input type="text"/>

PAYMENT METHOD

Please indicate your method of payment (minimum investment amount is £1,000):

Payment will be made electronically¹ **OR** Cheque² is enclosed with this application form

Enclosing a correctly completed cheque with this application will constitute a dealing instruction, and shares will be purchased on behalf of your organisation. The price of shares in each fund (and the number of shares purchased) is determined at midday on each Dealing Day. Instructions received before midday will purchase shares at the price determined on that day. Instructions received after midday will purchase shares as determined on the next Dealing Day. In both cases, a contract note will be issued recording the date, the number of shares purchased and the price paid. This will be posted to the correspondence address as detailed on page 2.

1. If investing electronically (see above), please leave boxes above blank. We will provide instructions on receipt of your application.
2. Cheques are to be made payable to **EdenTree Investment Management – Dealing Account**.

DIVIDEND INCOME OPTION (Please tick one option)

If no option is indicated proceeds will automatically be reinvested

Reinvest dividend proceeds each quarter Receive dividend proceeds as quarterly income

Account name

Bank/Building Society

Branch name

Sort Code

Account No

Building Society Reference (if applicable)

Withdrawal payments will also be paid to this account. Payments to third parties are not permitted. We will also need to verify your account details in advance of any dividends being paid or redemption requests. See note on page 6 for additional information.

Only complete this section if you do not have a Charity Registration number or an HMRC reference which appears on page 2.

TAXATION STATUS

Tax Regulations (FATCA) require us to collect information about investors' tax residency. In certain circumstances (including if we do not receive the required information) we may be obliged to share information about your account with HMRC.

If you have any questions about tax residency, please contact your tax adviser. Should your information change in the future, please ensure that you advise of any changes promptly.

Institutions that are defined as 'Financial Institutions' will be required to provide a FATCA Classification.

Please tick which of the following statements below applies, and provide any additional information that is requested. If you are unsure about how to complete this section, please contact your tax adviser.

1. If your organisation is a **Financial Institution**, please complete (a) or (b) below

**a. Please provide your organisation's
Global Intermediary Identification Number (GIIN)**

If you do not have a GIIN but you are sponsored by another entity which does, please provide its GIIN above and tick this box:

b. If unable to provide a GIIN, please tick the reason why your organisation does not have a GIIN:

- It is a US Person (please provide Taxpayer Identification Number (TIN))
- It is a FFI in a Model 1 IGA country and has not yet obtained a GIIN
- It is a FFI that intends to apply for a GIIN but has not yet applied or has not yet received it
- It is an Exempt Beneficial Owner
- It is a Certified Deemed Compliant Financial Institution
- It is a Registered Non-Profit Organisation
- It is a Non-Participating Foreign Financial Institution

2. If your organisation is **not a Financial Institution** confirm its status below:

- Active NFFE Passive NFFE US Person

3. If you do not fall into any of the categories above, please tick this box and we will request further documentation from you to confirm your organisation's classification.

TAXATION RESIDENCY

FATCA and Common Reporting Standards require us to collect certain information about each investor's tax arrangements. Please provide this information below.

1. Please state the country in which your organisation is **resident for tax purposes**

If you have more than one country of tax residency, please tick this box and we will contact you for further information

2. If the organisation is not a **specified person** in the country listed above, please tick this box and provide supporting documentation indicating the reason

3. Otherwise, please provide us with the organisation's **Tax Reference Number**

OPERATING SIGNATORIES

Operators of the account are individuals appointed by the Trustees/Directors or Company Secretary.

Charity Commission guidance states that accounts should be operated by at least two signatories. If this is impractical, please enclose a certified copy of the minutes authorising single signatory operation (see page 8). Please copy this form should you wish to add more individuals.

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other) **Surname**

Forename(s)

Capacity **Signature**

IMPORTANT INFORMATION

EdenTree Investment Management is required to classify all its clients so that they receive an appropriate level of investor protection. EdenTree Investment Management will categorise you as a Retail Client, giving you the highest level of protection available.

For your information, the next level of classification would be a Professional Client, which may be an organisation that is considered to have the necessary experience, knowledge and expertise to make its own decisions and assess associated risk. Clients falling into this category receive lower protection than a Retail Client.

However, there are certain protections that Retail Clients receive that may not be available to Professional Clients classified as Retail Clients. In particular they may not be able to refer complaints to the Financial Ombudsman Service and are not eligible claimants under the Financial Services Compensation Scheme.

Data Protection Act

EdenTree Investment Management will always act responsibly with your personal data. By submitting your personal details, you consent to us using the information provided, as detailed in our Privacy Policy.

Our Privacy Policy can be found at www.edentreeim.com or can be requested by writing to us at PO Box 3733, Swindon SN4 4BG.

Personal Data

We may transfer your personal information to countries outside the European Economic Area (EEA). This may happen when our servers, suppliers and/or service providers are based outside the EEA. The data protection laws and other laws of these countries may not be as comprehensive as those that apply within the EEA. In these instances we will take steps to ensure that your privacy rights are respected. Details relevant to you may be provided upon request.

Verification of Identity

If you have not appointed a financial adviser, the Trustee/Directors or Company Secretary authorising the opening of this account will be required to provide documentation to verify the Charity in accordance with anti-money laundering legislation, and to evidence their own identity and addresses. (See page 8).

If you have appointed a financial adviser they will be able to provide confirmation of your identity for anti-money laundering purposes.

Please note that any redemption requests or dividend payments will be withheld until verification documents have been provided.

Verification of Bank Account

If you have invested by cheque from the same account, no additional verification is required. If your initial investment is settled electronically, or if you change bank account, your bank account will need to be verified before any redemption or further dividend payments are made to you. In order to verify an account we will require either a voided cheque or an original or certified copy of a bank statement dated within the last three months. If you are unable to provide these please contact us to discuss. (Please see notes on page 9).

AUTHORISATION

This section is to be completed and signed by two Trustees/Directors and/or the Company Secretary, if the Charity is incorporated.

On behalf of the Charity we agree to be bound by the Prospectus of the Amity Investment Funds for Charities.

We confirm that:

- we are authorised to act on behalf of the applicant Charity
- the individuals named on page 5 are delegated to operate this account
- we have read and understand the content of the fund's Prospectus
- the account is to be used to hold only money held on charitable assets
- we have read and understand the latest Key Investor Information Document(s) for the fund(s) and share classes in which we are investing
- we shall notify EdenTree Investment Management of any changes to ourselves or to the individuals named on page 5
- we will inform EdenTree Investment Management immediately should the Charity cease to qualify as a registered Charity

We authorise you to:

- conduct this account as instructed in this application form until you are instructed to the contrary
- accept faxed instructions that purport to be properly issued in accordance with this application form. We indemnify you against any costs or loss from your acting on such instructions

We acknowledge that this transaction is at our initiative and/or on the advice of the financial adviser named on page 3. We confirm we have not received advice from EdenTree Investment Management about the Amity Balanced Fund for Charities and/or the Amity Global Equity Fund for Charities or their specific suitability for our circumstances. We understand that EdenTree Investment Management is not required to and has not assessed whether this investment is suitable, and that we do not benefit from regulatory protections afforded to recipients of advisory services.

EdenTree Investment Management is subject to the Money Laundering Regulations 2007, as amended, which came into force from December 2007. EdenTree Investment Management will require verification of identity for the Trustee/Directors and for the Company Secretary authorising the opening of this account. This may be achieved electronically through the use of a credit reference agency which may keep a record of this information; this check is used only to verify identity and will not affect credit records. If EdenTree Investment Management is unable to verify individuals electronically it will require independent evidence of identity and permanent address. If EdenTree Investment Management does not receive acceptable evidence it reserves the right to delay or withhold payments of redemptions and/or payments of dividends until verification is satisfactorily completed.

PERSON 1

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other)

Surname

Forename(s)

Date of birth

Address

Postcode

Signature

Date

PERSON 2

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other)

Surname

Forename(s)

Date of birth

Address

Postcode

Signature

Date

These individuals will be verified for anti money laundering purposes in line with requirements detailed on page 8.

REQUIRED DOCUMENTATION FOR YOUR ORGANISATION

EdenTree Investment Management follows Charity Commission guidance that all accounts should be operated by at least two signatories.

If this is not appropriate for your Charity, please enclose a certified copy¹ of the minutes of a Trustees, or PCC meeting authorising single signatory operation.

Authority to operate the account on the single signature of an authorised signatory is enclosed.

For incorporated Charities, as well as the completed application form, we need the following:

Certified copy¹ documentation for the Company Secretary in accordance with the lists below

Certified copy¹ of the governing document, and details of the nature and purpose of the Charity

For unincorporated Charities, as well as the completed form, we need the following:

List of all other Trustees signed by any one of the Trustees/Directors signing the authorisation on page 7

Certified copy¹ of the Trust Deed or equivalent governing document

Charities not registered with the Charity Commission⁵ must provide:

Evidence that your organisation is recognised as a Charity for tax purposes. Please send evidence from HMRC to this effect (for example, a letter from HMRC or a Gift Aid repayment form).

For all organisations

Please enclose a certified¹ copy of a bank statement (less than three months old) or voided cheque if the investment is being made electronically, or if the cheque is drawn on an account other than that detailed on page 2.

DOCUMENTS FOR VERIFICATION OF IDENTITY

Please provide one certified¹ copy document from list A and one certified copy¹ from list B for each person signing the form on page 7. If you have appointed a financial adviser on page 3, it is their responsibility to obtain and provide this information on your behalf.

Please ensure that all photographs and documents are clearly viewable and quote the individual's full name and current residential address (if applicable):

List A

- Valid Passport
- Valid National Identity Card (Non-UK Residents)
- Valid Photocard Driving Licence – Full or Provisional²
- Valid Firearms Certificate or Shotgun Licence
- Valid (old-style paper) full UK Driving Licence
- Evidence of entitlement to state or local authority funded benefit (eg Housing, Council Tax, Pension Credit, Tax Credit, Educational etc) for the current year
- Valid Disabled Person's Blue Badge
- HMRC correspondence dated within the last 12 months
- NHS Medical Card

List B

- Council Tax demand letter or statement for the current year³
- Recent bank account or credit card statement^{3,4}
- Recent utility bill (mobile phone bills are not acceptable)^{3,4}
- Recent statement from a Regulated Financial Services Firm^{3,4}
- Confirmation of address on employer's company headed paper^{3,4}

1. See certification requirements on page 9

2. If the driving licence is UK registered, please ensure the photocard is still valid by referring to the date, under Section 4b of the driving licence

3. Documents must be less than 3 months old when received by ourselves, unless otherwise stated

4. Documents must not be printed off the internet

5. Examples can include some Educational establishments, Student Unions, Museums, Industrial and Provident Societies and Friendly Societies

CERTIFICATION REQUIREMENTS

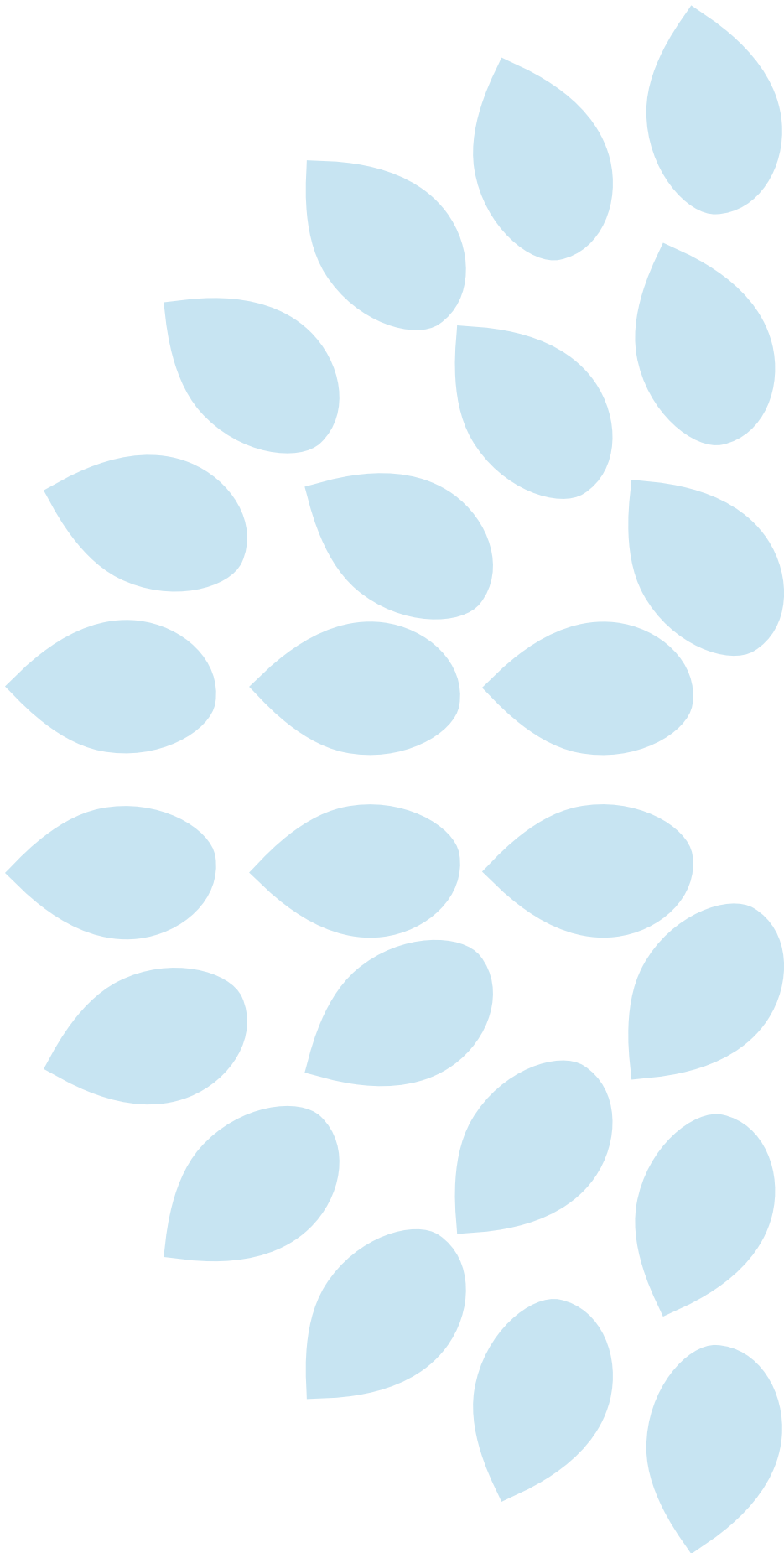
Where certified copy documentation is required (see requirements on page 8) this must be performed by one of the following regulated or professional persons:

- Lawyer/Solicitor
- Accountant
- Authorised Financial Intermediary

When certifying the documentation, the certifier must clearly state all of the following information on every document:

1. Full name and signature of the individual certifying the documentation.
2. Name and address of the organisation for which the individual works, in case we need to contact them.
3. The date the certification was performed.
4. The statement that "I have seen the original document and certify that this is a complete and accurate copy of the original".
When certifying documents with photographic evidence "I certify that the associated photograph bears a good likeness to the applicant".

NOTES



For help completing this form call us on

0800 358 3010

Monday to Friday 9am to 5pm.

We may monitor or record calls to improve our service.

For further information on any of our products, call us on

0800 032 3778

Or you can email us at

charityinvestments@edentreeim.com

Or visit us at

www.edentreeim.com

