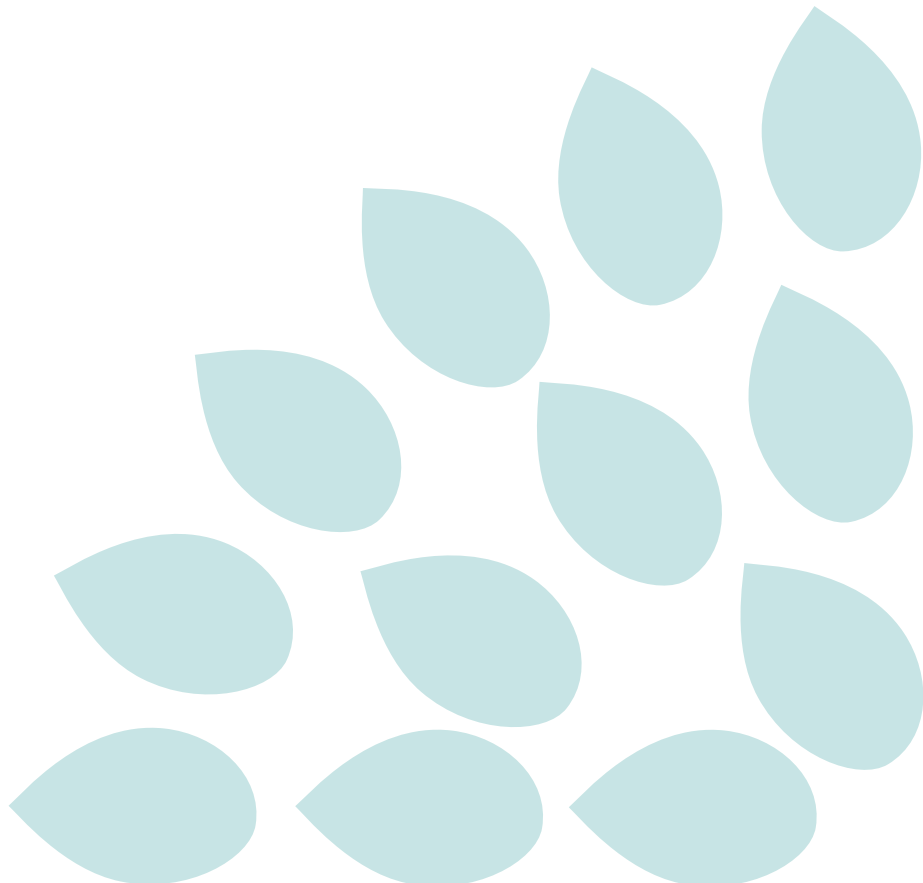




APPLICATION FORM

STOCKS AND SHARES INDIVIDUAL SAVINGS ACCOUNT (ISA)

PROFIT WITH PRINCIPLES



Please complete all sections below and return to:

EdenTree Investment Management Limited, PO Box 3733, Swindon SN4 4BG

Before you sign this form, please make sure you have read an up-to-date version of the Key Investor Information Document (KIID) for each fund into which you wish to invest.

Please note, if your financial adviser is not providing an Identity Verification Certificate (IVC), two identification documents must also be sent: one to verify residency and one to verify identity. A copy of the Terms and Conditions are available on request.

PERSONAL DETAILS (an ISA can be opened in the name of one individual only and you must be 18 or over to invest)

Title (Mr/Mrs/Miss/Ms/Dr/Revd/Other)

Surname

Forename(s)

Date of birth

Permanent residential address

Postcode

Telephone

Email

National Insurance number (required by HMRC)

| | | | | | | | | |
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If you have been resident at this address for less than 2 years, please provide details of your previous address.

Previous residential address

Postcode

Existing ISA account number (if applicable)

Please provide your own personal security question, which will be used should you contact us by telephone for information on your account (for example 'What was your mother's maiden name?')

Security question

Answer to security question

DECLARATION

- I agree to be bound by the Terms and Conditions, and the requirements of HM Revenue & Customs relating to ISA schemes. All subscriptions made, and to be made, belong to me.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to a person who performs such duties. I will inform EdenTree Investment Management if I cease to be so resident or to perform such duties or to be married to a person who performs such duties.
- I authorise EdenTree Investment Management and its agents to (i) hold my ISA investments, dividends and any other rights or proceeds in respect of those investments (ii) make on my behalf any claims to relief from tax in respect of ISA investments and (iii) on my written request to transfer or pay me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investment.
- I declare that this application form has been completed to the best of my knowledge and belief.
- I agree to EdenTree Investment Management Ltd's ISA Terms and Conditions.
- I confirm that I have read and understood the EdenTree Investment Management Terms and Conditions, the Supplementary Information Document and the latest available Key Investor Information Document(s) for the fund(s) and share class(es) in which I am investing.

Stocks and Shares ISA Declaration

I declare that I have not and will not subscribe to another stocks and shares ISA for the same tax year that I subscribe to this stocks and shares ISA. I am 18 years of age or over. I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA and an innovative finance ISA in the same tax year.

Signature

Date

IMPORTANT INFORMATION

Data Protection Act

EdenTree Investment Management will always act responsibly with your personal data. By submitting your personal details you consent to us using this information in the ways described in our Privacy Policy.

Our Privacy Policy can be found at www.edentreeim.com or can be requested by writing to us at PO Box 3733, Swindon SN4 4BG.

Personal Data

We may transfer your personal information to countries located outside the European Economic Area (the EEA). This may happen when our servers, suppliers and/or service providers are based outside the EEA. The data protection laws and other laws of these countries may not be as comprehensive as those that apply within the EEA. In these instances we will take steps to ensure that your privacy rights are respected. Details relevant to you may be provided upon request.

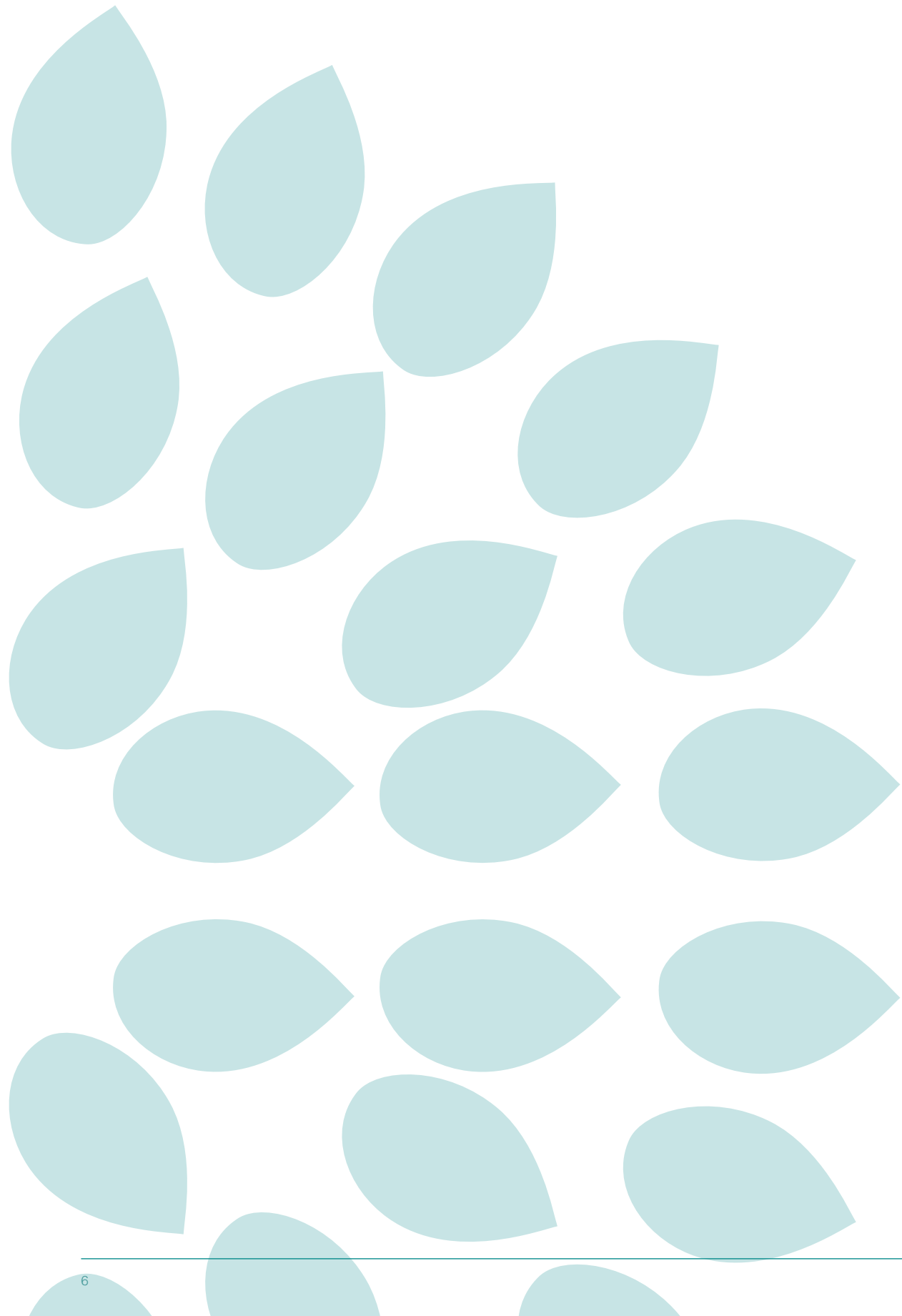
Electronic Verification

The Manager is bound by law to abide by the money laundering legislation and to verify the identity of investors. This verification usually happens when an investment is made or shares are transferred. It may also be required at other times whilst the investment is held. Verification will also be required for any third party making payments. If you are investing through an adviser, part of their duty will be to provide us with verification of your identity. Verification of identity may be achieved through the use of a credit reference agency which may keep a record of this information however this is only to verify your identity and will not affect your credit record. In some circumstances the Manager may require independent evidence of your identity and permanent address. If the Manager does not receive acceptable evidence it reserves the right to delay or reject your application or withhold payment of the proceeds of redemption and income on shares until verification has satisfactorily been completed.

Documents for Verification of Identity

If your financial adviser is not providing an Identity Verification Certificate (IVC), originals or certified photocopies of two identification documents must be sent; one to verify residency and one to verify identity. Appropriate documents to send certified copies of include a valid Passport, Driving Licence, National Identity Card, Firearms Certificate or Shotgun Licence and Identity Card issued by the Electoral Office for Northern Ireland.

Please see page 7 for Certification Requirements section.



Certification Requirements

Where certified copy documentation is required (see page 4 for requirements) this must be performed by one of the following regulated or professional persons:

- Lawyer/Solicitor
- Accountant
- Authorised Financial Intermediary

When certifying the documentation, the certifier must clearly state all of the following information on every document:

1. Full name and signature of the individual certifying the documentation.
2. Name and address of the organisation for which the individual works, in case we need to contact them.
3. The date the certification was performed.
4. The statement that "I have seen the original document and certify that this is a complete and accurate copy of the original".

When certifying documents with photographic evidence "I certify that the associated photograph bears a good likeness to the applicant".

Verification of Bank Account

If income or withdrawal payments are to be paid, and you have invested by cheque from the same account, no additional verification is required. If your initial investment is settled electronically, or if you change bank account, your bank account will need to be verified before income or any withdrawal payments are made. In order to verify an account we will require either a voided cheque, bank statement or paying-in slip from the bank account. If you are unable to provide these please contact us to discuss.

INVESTMENT ADVICE AND ADVISER/AGENT DETAILS

Please use the tick boxes provided to indicate whether you have received advice from a financial adviser on this investment and provide their details.

I have received advice from the following adviser

Company name

Address

Postcode

I have not received advice from a financial adviser

TO BE COMPLETED BY YOUR FINANCIAL ADVISER/AGENT

FCA code

Contact name

Telephone

Email

Discount (if applicable)

For Execution Only Advice or other eligible entities post RDR

Commission terms (if applicable)

If commission is to be paid, please tick this box to confirm this investment is eligible

Please remember to include an Identity Verification Certificate (IVC)

For help completing the form or for further information on any of our products, call us on

0800 358 3010

Monday to Friday 9am to 5pm.

We may monitor or record calls to improve our service.

You can email us at

investments@edentreeim.com

Or visit us at

www.edentreeim.com

Support for financial advisers

Dealing and administration:

0800 358 3010

Sales support:

0800 011 3821

Or visit us at

www.edentreeim.com/literature

